

Weighted Average Maturity (days): 31  
 Weighted Average Life (days): 38

CNI Charter Prime Money Market Fund  
 December 31, 2011

| CUSIP/Other ID | Name of Issuer                                  | Coupon | Category of Investment             | Effective Maturity | Final Maturity | Principal Amount | Amortized Cost   |
|----------------|---|--------|------------------------------------|--------------------|----------------|------------------|------------------|
| 0018A2A97      | ANZ NATIONAL BANK                               | 0.000% | Financial Company Commercial Paper | 01/09/2012         | 01/09/2012     | 10,000,000.00    | \$ 9,999,288.89  |
| 01854VA30      | ALLIANCEBERNSTEIN LP                            | 0.000% | Financial Company Commercial Paper | 01/03/2012         | 01/03/2012     | 10,000,000.00    | \$ 9,999,894.44  |
| 01854VA4A      | ALLIANCEBERNSTEIN LP                            | 0.000% | Financial Company Commercial Paper | 01/10/2012         | 01/10/2012     | 10,000,000.00    | \$ 9,999,425.00  |
| 01854VAR7      | ALLIANCEBERNSTEIN LP                            | 0.000% | Financial Company Commercial Paper | 01/25/2012         | 01/25/2012     | 18,000,000.00    | \$ 17,998,320.00 |
| 02665JB82      | AMERICAN HONDA FINANCE CORPORATION              | 0.000% | Financial Company Commercial Paper | 02/08/2012         | 02/08/2012     | 15,000,000.00    | \$ 14,996,516.67 |
| 02665JC65      | AMERICAN HONDA FINANCE CORPORATION              | 0.000% | Financial Company Commercial Paper | 03/06/2012         | 03/06/2012     | 10,000,000.00    | \$ 9,995,486.11  |
| 05253AB74      | AUSTRALIA & NEW ZEALAND BANKING GROUP           | 0.000% | Financial Company Commercial Paper | 02/07/2012         | 02/07/2012     | 10,000,000.00    | \$ 9,996,813.89  |
| 0556X0A53      | BNZ INTERNATIONAL FUNDING LTD                   | 0.000% | Financial Company Commercial Paper | 01/05/2012         | 01/05/2012     | 10,000,000.00    | \$ 9,999,644.44  |
| 0556X4AW6      | BNZ INTERNATIONAL FUNDING LTD                   | 0.441% | Other Commercial Paper             | 01/22/2012         | 05/22/2012     | 20,000,000.00    | \$ 20,000,782.37 |
| 05576UA30      | BTM CAPITAL CORPORATION                         | 0.000% | Financial Company Commercial Paper | 01/03/2012         | 01/03/2012     | 10,000,000.00    | \$ 9,999,816.67  |
| 05962PBZ1      | BANCO DEL ESTADO DE CHILE/NY BRANCH             | 0.470% | Certificate of Deposit             | 01/09/2012         | 01/09/2012     | 15,000,000.00    | \$ 15,000,000.00 |
| 05962PDD8      | BANCO DEL ESTADO DE CHILE/NY BRANCH             | 0.410% | Certificate of Deposit             | 02/03/2012         | 02/03/2012     | 10,000,000.00    | \$ 10,000,000.00 |
| 05962PDY2      | BANCO DEL ESTADO DE CHILE/NY BRANCH             | 0.460% | Certificate of Deposit             | 02/17/2012         | 02/17/2012     | 10,000,000.00    | \$ 10,000,000.00 |
| 06052WKA0      | BANK OF AMERICA                                 | 0.390% | Certificate of Deposit             | 02/13/2012         | 02/13/2012     | 10,000,000.00    | \$ 10,000,000.00 |
| 06052WKK8      | BANK OF AMERICA                                 | 0.540% | Certificate of Deposit             | 03/20/2012         | 03/20/2012     | 15,000,000.00    | \$ 15,000,000.00 |
| 06367JA93      | BANK OF MONTREAL                                | 0.000% | Financial Company Commercial Paper | 01/09/2012         | 01/09/2012     | 15,000,000.00    | \$ 14,999,766.67 |
| 06367JB68      | BANK OF MONTREAL                                | 0.000% | Financial Company Commercial Paper | 02/06/2012         | 02/06/2012     | 10,000,000.00    | \$ 9,999,000.00  |
| 06416JA37      | BANK OF NOVA SCOTIA                             | 0.000% | Financial Company Commercial Paper | 01/03/2012         | 01/03/2012     | 7,000,000.00     | \$ 6,999,988.33  |
| 06417EJV6      | BANK OF NOVA SCOTIA                             | 0.390% | Certificate of Deposit             | 04/05/2012         | 04/05/2012     | 7,750,000.00     | \$ 7,750,000.00  |
| 06417EMF7      | BANK OF NOVA SCOTIA                             | 0.310% | Certificate of Deposit             | 01/31/2012         | 01/31/2012     | 15,000,000.00    | \$ 15,000,828.78 |
| 06538BAP8      | BANK OF TOKYO - MITSUBISHI                      | 0.000% | Financial Company Commercial Paper | 01/23/2012         | 01/23/2012     | 15,000,000.00    | \$ 14,998,166.66 |
| 06538BBE2      | BANK OF TOKYO - MITSUBISHI                      | 0.000% | Financial Company Commercial Paper | 02/14/2012         | 02/14/2012     | 10,000,000.00    | \$ 9,995,966.67  |
| 1280C2AH2      | CAISSE CENTRALE DESJARDINS DU QUEBEC            | 0.000% | Financial Company Commercial Paper | 01/17/2012         | 01/17/2012     | 15,000,000.00    | \$ 14,998,666.67 |
| 1280C2BF5      | CAISSE CENTRALE DESJARDINS DU QUEBEC            | 0.000% | Financial Company Commercial Paper | 02/15/2012         | 02/15/2012     | 10,000,000.00    | \$ 9,997,125.00  |
| 1280C2C80      | CAISSE CENTRALE DESJARDINS DU QUEBEC            | 0.000% | Financial Company Commercial Paper | 03/08/2012         | 03/08/2012     | 10,000,000.00    | \$ 9,996,463.89  |
| 13063BCD2      | CALIFORNIA STATE                                | 0.030% | Variable Rate Demand Note          | 01/01/2012         | 05/01/2033     | 15,000,000.00    | \$ 15,000,000.00 |
| 13063BLK6      | STATE OF CALIFORNIA                             | 2.000% | Other Municipal Debt               | 06/26/2012         | 06/26/2012     | 15,000,000.00    | \$ 15,115,941.37 |
| 161045FL8      | CITY OF CHARLOTTE WATER & SEWER SERVICES        | 0.060% | Variable Rate Demand Note          | 02/09/2012         | 07/01/2036     | 15,000,000.00    | \$ 15,000,000.00 |
| 1667T0A60      | CHEVRON CORPORATION                             | 0.000% | Financial Company Commercial Paper | 01/06/2012         | 01/06/2012     | 15,000,000.00    | \$ 14,999,958.34 |
| 167562JP3      | CHICAGO ILL MIDWAY AIRPORT REVENUE              | 0.090% | Variable Rate Demand Note          | 01/01/2012         | 01/01/2029     | 14,500,000.00    | \$ 14,500,000.00 |
| 1730D2T69      | CITIBANK NA                                     | 0.140% | Certificate of Deposit             | 01/20/2012         | 01/20/2012     | 15,000,000.00    | \$ 15,000,000.00 |
| 20272ABF2      | COMMONWEALTH BANK OF AUSTRALIA,                 | 0.612% | Financial Company Commercial Paper | 03/22/2012         | 06/22/2012     | 10,000,000.00    | \$ 9,997,350.94  |
| 2082P2AC8      | CONOCOPHILLIPS QATAR FUNDING LTD                | 0.000% | Asset Backed Commercial Paper      | 01/12/2012         | 01/12/2012     | 12,700,000.00    | \$ 12,699,417.92 |
| 2082P2AP9      | CONOCOPHILLIPS CP                               | 0.000% | Asset Backed Commercial Paper      | 01/23/2012         | 01/23/2012     | 18,800,000.00    | \$ 18,798,851.11 |
| 24423EAA6      | JOHN DEERE BANK                                 | 0.000% | Financial Company Commercial Paper | 01/10/2012         | 01/10/2012     | 10,000,000.00    | \$ 9,999,750.00  |
| 24423HAP6      | JOHN DEERE LIMITED                              | 0.000% | Financial Company Commercial Paper | 01/23/2012         | 01/23/2012     | 24,000,000.00    | \$ 23,998,826.67 |
| 2470C2D93      | DELL INC  | 0.000% | Financial Company Commercial Paper | 04/09/2012         | 04/09/2012     | 12,500,000.00    | \$ 12,493,125.00 |
| 30229AA58      | EXXON MOBIL CORPORATION                         | 0.000% | Financial Company Commercial Paper | 01/05/2012         | 01/05/2012     | 15,000,000.00    | \$ 14,999,966.67 |
| 30601VAP6      | FAIRWAY FINANCE CORPORATION                     | 0.000% | Asset Backed Commercial Paper      | 01/23/2012         | 01/23/2012     | 15,070,000.00    | \$ 15,068,710.68 |
| 31315KRJ1      | FEDERAL AGRICULTURAL MORTGAGE CORPORATION       | 0.000% | Government Agency Debt             | 01/04/2012         | 01/04/2012     | 9,000,000.00     | \$ 8,999,996.25  |
| 313374F70      | FEDERAL HOME LOAN BANK                          | 0.330% | Government Agency Debt             | 07/16/2012         | 07/16/2012     | 10,000,000.00    | \$ 10,000,000.00 |
| 3133755H6      | FEDERAL HOME LOAN BANK                          | 0.440% | Government Agency Debt             | 08/28/2012         | 08/28/2012     | 5,000,000.00     | \$ 5,002,857.14  |
| 313376FS9      | FEDERAL HOME LOAN BANK                          | 0.310% | Government Agency Debt             | 12/05/2012         | 12/05/2012     | 5,000,000.00     | \$ 5,000,000.00  |
| 3134G13Z5      | FEDERAL HOME LOAN MORTGAGE CORPORATION          | 0.236% | Government Agency Debt             | 01/10/2012         | 08/10/2012     | 10,000,000.00    | \$ 10,000,648.32 |
| 316175603      | FIDELITY INSTITUTIONAL GOVERNMENT PORTFOLIO-III | 0.010% | Investment Company                 | 01/01/2012         | 01/01/2012     | 26,900,000.00    | \$ 26,900,000.00 |
| 36959HCT2      | GENERAL ELECTRIC CAPITAL CORPORATION            | 0.000% | Financial Company Commercial Paper | 03/27/2012         | 03/27/2012     | 10,000,000.00    | \$ 9,996,894.44  |
| 36959HEG8      | GENERAL ELECTRIC CAPITAL CORPORATION            | 0.000% | Financial Company Commercial Paper | 05/16/2012         | 05/16/2012     | 10,000,000.00    | \$ 9,985,266.67  |
| 36959RAC9      | GENERAL ELECTRIC CAPITAL CORPORATION            | 0.000% | Financial Company Commercial Paper | 01/12/2012         | 01/12/2012     | 15,000,000.00    | \$ 14,999,175.00 |
| 38141W273      | GOLDMAN SACHS FINANCIAL SQUARE FUNDS            | 0.006% | Investment Company                 | 01/01/2012         | 01/01/2012     | 53,156.00        | \$ 53,156.00     |
| 43851TFU5      | HONEYWELL INTERNATIONAL                         | 0.000% | Financial Company Commercial Paper | 06/28/2012         | 06/28/2012     | 15,000,000.00    | \$ 14,988,066.66 |
| 5006E0A55      | KOREA DEVELOPMENT BANK NY                       | 0.000% | Financial Company Commercial Paper | 01/05/2012         | 01/05/2012     | 10,000,000.00    | \$ 9,999,322.22  |
| 5006E0B39      | KOREA DEVELOPMENT BANK NY                       | 0.000% | Financial Company Commercial Paper | 02/03/2012         | 02/03/2012     | 15,000,000.00    | \$ 14,993,950.00 |
| 5006E0BD7      | KOREA DEVELOPMENT BANK NY                       | 0.000% | Financial Company Commercial Paper | 02/13/2012         | 02/13/2012     | 10,000,000.00    | \$ 9,994,505.56  |
| 54443TAR9      | LOS ANGELES DEPARTMENT OF AIRPORTS              | 0.000% | Other Commercial Paper             | 01/25/2012         | 01/25/2012     | 10,000,000.00    | \$ 9,998,400.00  |

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| 55607KAH9      | MACQUARIE BANK LIMITED                       | 0.000% | Financial Company Commercial Paper     | 01/17/2012         | 01/17/2012     | 15,000,000.00    | \$ 14,997,333.34 |
| 55607KAJ5      | MACQUARIE BANK LIMITED                       | 0.000% | Financial Company Commercial Paper     | 01/18/2012         | 01/18/2012     | 10,000,000.00    | \$ 9,998,111.11  |
| 55607KAP1      | MACQUARIE BANK LIMITED                       | 0.000% | Financial Company Commercial Paper     | 01/23/2012         | 01/23/2012     | 13,000,000.00    | \$ 12,996,822.22 |
| 58505EA56      | MEDTRONIC INC                                | 0.000% | Financial Company Commercial Paper     | 01/05/2012         | 01/05/2012     | 3,000,000.00     | \$ 2,999,970.00  |
| 60683JAB2      | mitsui & CO USA INC                          | 0.000% | Other Commercial Paper                 | 01/11/2012         | 01/11/2012     | 15,000,000.00    | \$ 14,999,041.67 |
| 60683JAH9      | mitsui & CO USA INC                          | 0.000% | Other Commercial Paper                 | 01/17/2012         | 01/17/2012     | 10,000,000.00    | \$ 9,999,022.22  |
| 61746BCX2      | MORGAN STANLEY                               | 0.638% | Other Note                             | 01/09/2012         | 01/09/2012     | 15,000,000.00    | \$ 14,999,679.28 |
| 61747C871      | MORGAN STANLEY                               | 0.030% | Investment Company                     | 01/01/2012         | 01/01/2012     | 45,300,000.00    | \$ 45,300,000.00 |
| 63253JAH3      | NATIONAL AUSTRALIA FUNDING                   | 0.000% | Financial Company Commercial Paper     | 01/17/2012         | 01/17/2012     | 11,000,000.00    | \$ 10,998,875.56 |
| 63253JAP5      | NATIONAL AUSTRALIA FUNDING                   | 0.000% | Financial Company Commercial Paper     | 01/23/2012         | 01/23/2012     | 15,000,000.00    | \$ 14,997,708.33 |
| 63253JD23      | NATIONAL AUSTRALIA FUNDING                   | 0.290% | Financial Company Commercial Paper     | 04/02/2012         | 04/02/2012     | 10,000,000.00    | \$ 9,992,844.44  |
| 63306V5C0      | NATIONAL BANK OF CANANDA                     | 0.140% | Certificate of Deposit                 | 01/19/2012         | 01/19/2012     | 15,000,000.00    | \$ 15,000,000.00 |
| 65602QMN4      | NORINCHUKIN BANK                             | 0.400% | Certificate of Deposit                 | 01/04/2012         | 01/04/2012     | 10,000,000.00    | \$ 10,000,000.00 |
| 65602QRN9      | NORINCHUKIN BANK                             | 0.400% | Certificate of Deposit                 | 01/24/2012         | 01/24/2012     | 10,000,000.00    | \$ 10,000,000.00 |
| 65602QTL1      | NORINCHUKIN BANK                             | 0.410% | Certificate of Deposit                 | 02/21/2012         | 02/21/2012     | 11,000,000.00    | \$ 11,000,000.00 |
| 86257EBU1      | STRAIGHT-A-FUNDING LLC                       | 0.000% | Asset Backed Commercial Paper          | 01/09/2012         | 01/09/2012     | 10,236,000.00    | \$ 10,235,567.81 |
| 86257ECG1      | STRAIGHT-A-FUNDING LLC                       | 0.000% | Asset Backed Commercial Paper          | 01/27/2012         | 01/27/2012     | 5,000,000.00     | \$ 4,999,494.44  |
| 86257GK36      | STRAIGHT-A-FUNDING LLC                       | 0.000% | Asset Backed Commercial Paper          | 02/10/2012         | 02/10/2012     | 17,412,000.00    | \$ 17,408,324.13 |
| 86257JF85      | STRAIGHT-A-FUNDING LLC                       | 0.000% | Asset Backed Commercial Paper          | 01/18/2012         | 01/18/2012     | 5,327,000.00     | \$ 5,326,647.83  |
| 86257KP73      | STRAIGHT-A-FUNDING LLC                       | 0.000% | Asset Backed Commercial Paper          | 03/01/2012         | 03/01/2012     | 5,000,000.00     | \$ 4,998,416.67  |
| 86257KP81      | STRAIGHT-A-FUNDING LLC                       | 0.000% | Asset Backed Commercial Paper          | 02/08/2012         | 02/08/2012     | 10,000,000.00    | \$ 9,998,416.66  |
| 86257M6Z8      | STRAIGHT-A-FUNDING LLC                       | 0.000% | Asset Backed Commercial Paper          | 03/13/2012         | 03/13/2012     | 15,000,000.00    | \$ 14,994,300.00 |
| 86561AA56      | SUMITOMO CORPORATION OF AMERICA              | 0.000% | Financial Company Commercial Paper     | 01/05/2012         | 01/05/2012     | 10,000,000.00    | \$ 9,999,777.78  |
| 86561AAH0      | SUMITOMO CORPORATION OF AMERICA              | 0.000% | Financial Company Commercial Paper     | 01/17/2012         | 01/17/2012     | 15,000,000.00    | \$ 14,997,533.33 |
| 86561AB22      | SUMITOMO CORPORATION OF AMERICA              | 0.000% | Financial Company Commercial Paper     | 02/02/2012         | 02/02/2012     | 10,000,000.00    | \$ 9,997,155.55  |
| 86562KA61      | SUMITOMO MITSUI BANKING CORPORATION          | 0.000% | Financial Company Commercial Paper     | 01/06/2012         | 01/06/2012     | 15,000,000.00    | \$ 14,999,270.83 |
| 86563GA93      | SUMITOMO TRUST & BANK NY                     | 0.000% | Financial Company Commercial Paper     | 01/09/2012         | 01/09/2012     | 15,000,000.00    | \$ 14,999,266.67 |
| 86563GB68      | SUMITOMO TRUST & BANK NY                     | 0.000% | Financial Company Commercial Paper     | 02/06/2012         | 02/06/2012     | 10,000,000.00    | \$ 9,996,400.00  |
| 89112XGX7      | TORONTO DOMINION HOLDINGS USA                | 0.070% | Certificate of Deposit                 | 01/09/2012         | 01/09/2012     | 15,000,000.00    | \$ 15,000,000.00 |
| 89116EAT0      | TORONTO DOMINION HOLDINGS USA                | 0.000% | Financial Company Commercial Paper     | 01/27/2012         | 01/27/2012     | 10,000,000.00    | \$ 9,998,988.89  |
| 89233GBH8      | TOYOTA MOTOR CREDIT CORPORATION              | 0.000% | Financial Company Commercial Paper     | 02/17/2012         | 02/17/2012     | 10,000,000.00    | \$ 9,996,866.67  |
| 8923A0A52      | TOYOTA CREDIT PUERTO RICO CORPORATION        | 0.000% | Financial Company Commercial Paper     | 01/05/2012         | 01/05/2012     | 10,000,000.00    | \$ 9,999,700.00  |
| 8923A0A94      | TOYOTA CREDIT PUERTO RICO CORPORATION        | 0.000% | Financial Company Commercial Paper     | 01/09/2012         | 01/09/2012     | 15,000,000.00    | \$ 14,999,866.67 |
| 91411SA91      | UNIVERSITY OF CALIFORNIA                     | 0.000% | Other Commercial Paper                 | 01/09/2012         | 01/09/2012     | 25,000,000.00    | \$ 24,998,866.66 |
| 91411SC16      | UNIVERSITY OF CALIFORNIA                     | 0.000% | Other Commercial Paper                 | 03/01/2012         | 03/01/2012     | 15,000,000.00    | \$ 14,993,750.00 |
| 96121TEQ7      | WESTPAC BANKING CORPORATION NEW YORK         | 0.373% | Certificate of Deposit                 | 01/16/2012         | 06/15/2012     | 20,000,000.00    | \$ 20,000,000.00 |
| BANQAM240      | BANK OF AMERICA REPURCHASE AGREEMENT         | 0.020% | Government Agency Repurchase Agreement | 01/01/2012         | 01/01/2012     | 50,000,000.00    | \$ 50,000,000.00 |
| DEUTSC240      | DEUTSCHE TRI-PARTY REPURCHASE AGREEMENT      | 0.050% | Government Agency Repurchase Agreement | 01/01/2012         | 01/01/2012     | 10,000,000.00    | \$ 10,000,000.00 |
| GOLDMN240      | GOLDMAN SACHS TRI-PARTY REPURCHASE AGREEMENT | 0.090% | Government Agency Repurchase Agreement | 01/01/2012         | 01/01/2012     | 50,000,000.00    | \$ 50,000,000.00 |